

A PROTOCOL FOR ASSESSING SEDIMENT TOXICITY IN RESERVOIRS BEFORE FLUSHING

Laura Marziali¹, Licia Guzzella¹, Gianni Tartari¹, Pietro Genoni², Erika Lorenzi², Clara Bravi³

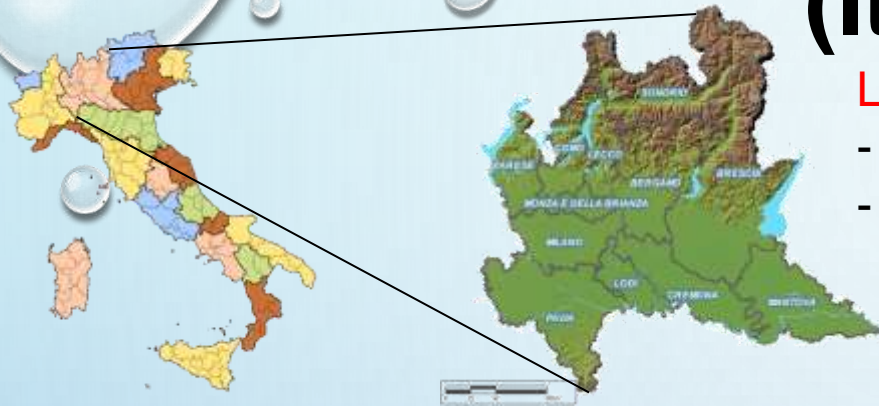
¹ CNR IRSA, Water Research Institute, Via del Mulino, 19, I-20861 Brugherio, Italy

² Lombardy Regional Environmental Protection Agency, Via Rosellini 17, I-20124 Milan, Italy

³ Lombardy Region, Piazza Città di Lombardia 1, I-20124 Milan, Italy



Reservoirs in Lombardy Region (Italy)



Lombardy Region:

- Large dams: about 80
- Small dams: about 600

Reservoirs accumulate huge quantities of fine sediments:

sediment management is needed to maintain/recover storage capacity and to prevent clogging of outlets

Sediment flushing: scouring out of deposited sediments through the use of low-level outlets in dams to lower water levels, thereby increasing the flow velocities in the reservoir

Implications on aquatic communities in the riverine ecosystem downstream the dam:

- **physical-mechanical impact:** immediate
- **ecotoxicological effects** on aquatic organisms due to the release of toxic substances from sediments: long-term effects



Sediments: a complex matrix ... with scarce regulation

Complex matrix:

- toxicity depends on site-specific **bioavailability, partitioning and chemical speciation**
- **flushing and sluicing** techniques were proved to alter physical and chemical conditions (e.g. solid/liquid ratio, pH, redox conditions), thus determining the **release of contaminants from sediments** (e.g. Hug Peter et al., 2014; Fetters et al., 2016, Frémion et al., 2016)

Regulation?

- WFD 2000/60/EC
- Directive 2013/39/UE



Sediment Quality Standards are
not defined for freshwater
sediments



Fetters K.J., Costello D.M., Hammerschmidt C.R., Burton G.A.Jr., 2016. Toxicological effects of short-term resuspension of metal-contaminated freshwater and marine sediments. *Environmental Toxicology and Chemistry*, 35: 676-686.

Frémion F., Bordas F., Mourier B., Lenain J-f., Kestens T., Courtin-Nomade A., 2016. Influence of dams on sediment continuity: a study case of a natural metallic contamination. *Science of the Total Environment*, 547: 282-294.

Frémion F., Courtin-nomade A., Bordas F., Lenain J-f., Jugé P., Kestens T., Mourier B., 2016. Impact of sediments resuspension on metal solubilization and water quality during recurrent reservoir sluicing management. *Science of the Total Environment*, 562: 201-215.

Hug Peter D., Castella E., Slaveykova V.I., 2014. Effects of a reservoir flushing on trace metal partitioning, speciation and benthic invertebrates in the floodplain. *Environmental Science Processes & Impacts*, 16: 2692-2702.

PrATo: a protocol for assessing sediment toxicity in reservoirs before flushing

- **PrATo** includes methods for **sampling, chemical analysis and ecotoxicological evaluation** of sediments, as well as criteria for **risk assessment**, based on cross-interpretation of results
- **Sediment characterization** need to be carried out:
 - **before flushing**: sediments of the reservoir and of the downstream river will be analyzed in order to plan adequate flushing operations
 - **after flushing**: analyses will be compared with results obtained before flushing, in order to evaluate the outcomes of the operations
- It provides a practical tool for drafting **Reservoirs Management Plans**



Final aim: maximizing sediment discharge minimizing environmental impacts on the downstream river

Analysis in the downstream river



Selection of sampling points in the downstream river

Sampling of sediments in the downstream river using grabs or shovels before flushing

ECOLOGICAL ASSESSMENT
(aquatic community analysis) in the downstream river before flushing

Pressure analysis in the upstream watershed and in the connected watersheds

Analysis in the reservoir

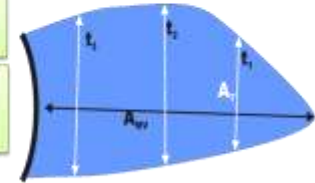
A priori classification of reservoirs in different scenarios

Evaluation of the siltation rate/level

| Siltation | Low (< 0.5 %), low annual rate (< 0.5 %), siltation doesn't affect outlet efficiency | Significant (5-20%), significant annual rate (0.5-1 %), siltation may affect outlet efficiency | Relevant (>20%), relevant annual rate (>1%), siltation affects outlet efficiency |
|-----------------|--|--|--|
| Not significant | A1 | B1 | C1 |
| Significant | A2 | B2 | C2 |
| Relevant | A3 | B3 | C3 |

Selection of sampling points in the reservoir

Sampling of sediments in the reservoir using grabs or corers before flushing



CHEMICAL ANALYSIS of :

- sediments: < 2 mm grain size fraction
- sediment leaching: elution test

The set of chemical parameters (e.g. trace metals, organic pollutants) to analyze will depend on pressure analysis + Chronic test with *Heterocypris incongruens*

Comparison of pollutant concentrations with toxicity benchmarks for sediments and leachates

For reservoirs in the worst scenario (C3): analysis of bioaccumulation in native benthic organisms before and after flushing (optional)

BENCHMARK EXCEEDED and/or **TOXICITY** for *H. incongruens*

BENCHMARK NOT EXCEEDED and **NOT TOXIC** for *H. incongruens*

Comparison between concentrations before and after flushing

| | |
|--|------------------------------|
| Values after flushing LOWER or SIMILAR | Values after flushing HIGHER |
| Toxic effects NOT RELEVANT | Toxic effects RELEVANT |

ECOTOXICOLOGICAL EVALUATION: different batteries are used according to the scenario of the reservoir and to the benchmark exceeded (sediments or leachates or *H. incongruens*)

Exceedance RELEVANT

Exceedance MODERATE

FLUSHING NOT FEASIBLE

Ecotoxicological effects NOT RELEVANT or moderate

Ecotoxicological effects RELEVANT

Calculation of NOEC/LOEC

Comparison between ecological status before and after flushing

Ecological effects NOT RELEVANT

Ecological effects RELEVANT

POSITIVE OUTCOME OF FLUSHING OPERATIONS

THE FLUSHING PROTOCOL NEEDS TO BE RE-ASSESSED FOR FUTURE OPERATIONS

SELECTION OF THE DILUTION FACTOR TO BE APPLIED IN THE FLUSHING OPERATIONS

Selection of the lowest dilution factor (sediment/water) to be applied during flushing

Selection of the dilution factor (sediment/water) to be applied during flushing to prevent physical effects on fish

Ecological assessment (aquatic community analysis) in the downstream river after flushing

Sampling of sediments in the downstream rivers using grabs, shovels or trowels after flushing

➡ Chemical/ecotoxicological evaluation

➡ Ecological assessment

➡ Evaluation of effects after flushing

Reservoirs in Lombardy Regions

69 reservoirs were considered

High altitude, anthropic pressures not significant, low siltation, flushing operation not needed, possibly high concentrations of metals deriving from natural weathering or atmospheric deposition

| Pressure analysis \ Siltation rate/level | Low | Significant | Relevant |
|--|-----------------|-------------|----------|
| | Not significant | 36 | 7 |
| Significant | 2 | 6 | 0 |
| Relevant | 2 | 7 | 6 |

Low altitude, anthropogenic pressures relevant, high siltation, flushing operation needed, possibly high concentrations of metals and organics deriving from anthropogenic activities

Chemical analysis of sediments

- Analysis of fine fractions (< 2 mm) and leachates
- The list of parameters varies according to pressure analysis

| Analytes | Anthropic pressures not significant | Anthropic pressures significant or relevant | Analytes | Anthropic pressures not significant | Anthropic pressures significant or relevant |
|---------------------------------|-------------------------------------|---|------------------------|-------------------------------------|---|
| Sediment characteristics | | | Organics | | |
| Particle size distribution | x | X | Anthracene | | x |
| Humidity | x | X | Fluorene | | x |
| TOC | x | X | Naphthalene | | x |
| | | | Phenanthrene | | x |
| | | | Benzo(a)anthracene | | x |
| Trace elements | | | Benzo(a)pyrene | | x |
| Arsenic | x | X | Chrysene | | x |
| Cadmium | x | X | Dibenzo(a,h)anthracene | | x |
| Total chromium | x | x | Fluoranthene | | x |
| Chromium VI | | x | Pyrene | | x |
| Manganese | | x | Total PAHs | x | x |
| Antimony | | x | Total PCBs | x | x |
| Copper | x | x | Chlordane | | x |
| Lead | x | x | Dieldrin | | x |
| Mercury | | x | p,p'-DDD | | x |
| Nickel | x | x | p,p'-DDE | | x |
| Zinc | x | x | p,p'-DDT | | x |
| Beryllium | | x | Total DDTs | | x |
| Cobalt | | x | Endrin | | x |
| Selenium | | x | Heptachlor epoxide | | x |
| Tin | | x | Lindane (gamma-BHC) | | x |
| Vanadium | | x | C > 12 | x | x |

Benchmarks for sediments

➤ **Sediments: Threshold Effect Concentrations (TECs) and Probable Effect Concentrations (PECs) (MacDonald et al., 2000)**

| Pollutant | TEC | PEC | Pollutant | TEC | PEC |
|--|-------------|-------------|---|-------------|-------------|
| Trace elements (mg/kg p.s.) | | | | | |
| Arsenic | 9.79 | 33 | Lead | 35.8 | 128 |
| Cadmium | 0.99 | 4.98 | Mercury | 0.18 | 1.06 |
| Chromium | 43.4 | 111 | Nickel | 22.7 | 48.6 |
| Copper | 31.6 | 149 | Zinc | 121 | 459 |
| Polycyclic aromatic hydrocarbons (µg/kg p.s.) | | | Polycyclic biphenyls (µg/kg p.s.) | | |
| Anthracene | 57.2 | 845 | Total PCBs | 59.8 | 676 |
| Fluorene | 77.4 | 536 | Organochlorine pesticides (µg/kg p.s.) | | |
| Naphthalene | 176 | 561 | Chlordane | 3.24 | 17.6 |
| Phenanthrene | 204 | 1170 | Dieldrin | 1.9 | 61.8 |
| Benzo(a)anthracene | 108 | 1050 | p,p'-DDD | 4.88 | 28 |
| Benzo(a)pyrene | 150 | 1450 | p,p'-DDE | 3.16 | 31.3 |
| Chrysene | 166 | 1290 | p,p-DDT | 4.16 | 62.9 |
| Dibenzo(a,h)anthracene | 33 | | Total DDTs | 5.28 | 572 |
| Fluoranthene | 423 | 2230 | Endrin | 2.22 | 207 |
| Pyrene | 195 | 1520 | Heptachlor epoxide | 2.47 | 16 |
| Total PAHs | 1610 | 22800 | Lindane (gamma-BHC) | 2.37 | 4.99 |

MacDonald D.D., Ingersoll C.G. & Berger T.A. 2000. Development and evaluation of consensus-based sediment quality guidelines for freshwater ecosystems. Arch. Environ. Contam. Toxicol., 39: 20–31.

➤ **Leachates: concentrations from existing national legislation (e.g. D.M. 05/04/2006, D.M. 27/09/2010 and D. Lgs. 152/2006, allegato 5, parte IV, tabella 2)**

Ecotoxicological analysis

- **Whole-sediment chronic test with the ostracod**
Heterocypris incongruens as screening test (all samples)
- Test batteries with **chronic tests** focused on sediments or leachates according to benchmark exceedance (selected sample)

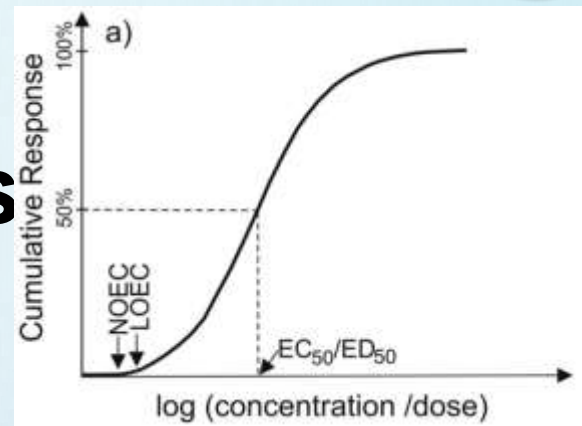


| | | | | Anthropic pressure analysis | | |
|------------------------------------|---------------------------------|---|---|---|---|--|
| | | Not significant | Significant | Relevant | | |
| Toxicity for H. incongruens | and/or exceedance of benchmarks | for whole-sediments | 1) Test on leachates with <i>Ceriodaphnia</i> or <i>Daphnia</i> | 1) Test on leachates with <i>Ceriodaphnia</i> or <i>Daphnia</i> | 1) Test on leachates with <i>Ceriodaphnia</i> or <i>Daphnia</i> | |
| | | | | 2) Whole-sediment test with higher plants | 2) Whole-sediment test with higher plants | |
| | | | | | 3) Whole-sediment test with <i>Chironomus</i> | |
| | for leachates | 1) Test on leachates with <i>Ceriodaphnia</i> or <i>Daphnia</i> | 1) Test on leachates with <i>Ceriodaphnia</i> or <i>Daphnia</i> | 1) Test on leachates with <i>Ceriodaphnia</i> or <i>Daphnia</i> | | |
| | | | 2) Test on leachates with <i>Raphidocelis subcapitata</i> | 2) Test on leachates with <i>Raphidocelis subcapitata</i> | | |
| | | | | 3) Test on leachates with higher plants | | |

| | | | | | | |
|------------------------------------|---------------------------------|---------------------|---|---|---|--|
| Toxicity for H. incongruens | and/or exceedance of benchmarks | for whole-sediments | 1) Test on leachates with <i>Ceriodaphnia</i> or <i>Daphnia</i> | 1) Test on leachates with <i>Ceriodaphnia</i> or <i>Daphnia</i> | 1) Test on leachates with <i>Ceriodaphnia</i> or <i>Daphnia</i> | |
| | | | | 2) Whole-sediment test with higher plants | 2) Whole-sediment test with higher plants | |
| | | | | | 3) Whole-sediment test with <i>Chironomus</i> | |

| | | | | | |
|------------------------------------|---------------|---|---|---|--|
| Toxicity for H. incongruens | for leachates | 1) Test on leachates with <i>Ceriodaphnia</i> or <i>Daphnia</i> | 1) Test on leachates with <i>Ceriodaphnia</i> or <i>Daphnia</i> | 1) Test on leachates with <i>Ceriodaphnia</i> or <i>Daphnia</i> | |
| | | | 2) Test on leachates with <i>Raphidocelis subcapitata</i> | 2) Test on leachates with <i>Raphidocelis subcapitata</i> | |
| | | | | 3) Test on leachates with higher plants | |

Final aim of toxicity tests

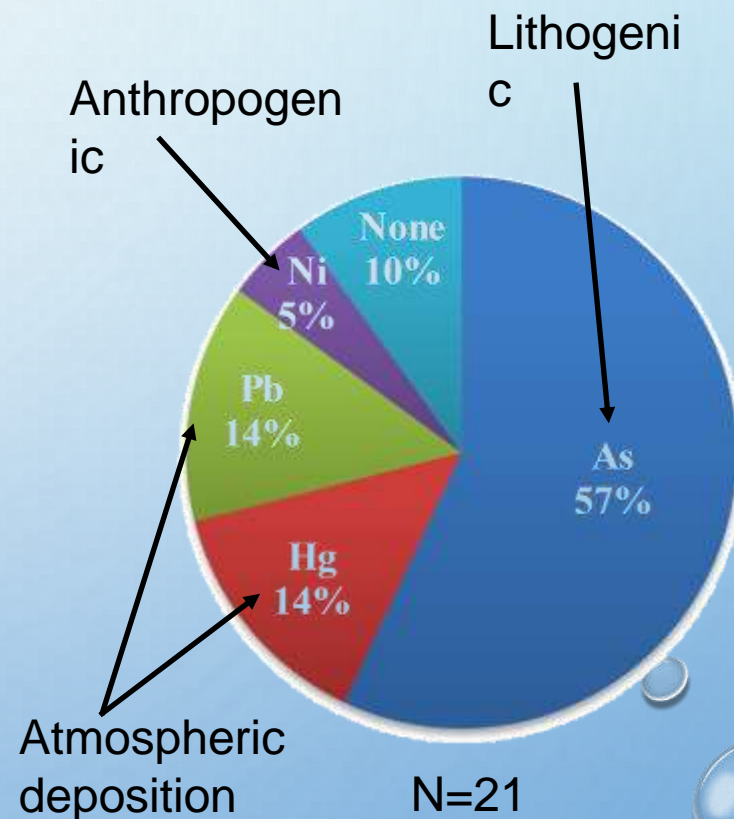


- **Tests on leachates: NOEC and LOEC** can be calculated in order to define a proper sediment:water dilution factor to be applied during flushing which should minimize long-term toxic effects on aquatic organisms in the downstream river ecosystem
- **Test on sediments (% effects):** in case of significant sediment toxicity, some additional measures will be needed during and after flushing in order to prevent massive sediment deposition in the downstream river, e.g. additional washing operations after flushing, limitation of flushing operations in terms of sediment volumes flushed downstream at each event or frequency of flushing operations

Results from case studies

- Most reservoirs showed **exceedance of benchmarks for trace elements in sediments**
- No exceedance for organics

| Parameter | Unit | Min | Max | Median | TEC/PEC |
|-----------------|---------------------------------|-------|--------------|--------|-------------|
| Altitude | m a.s.l. | 237 | 2987 | 1051 | |
| Siltation rate | cm/year | 0.1 | 74.1 | 5.6 | |
| Siltation level | % volume | 0.001 | 8.6 | 0.4 | |
| Volume | m ³ x10 ⁶ | 0.05 | 63 | 0.35 | |
| Surface | m ² x10 ⁶ | 0.02 | 2.18 | 0.04 | |
| Depth | m | 9 | 86 | 27 | |
| Fine fraction | % < 2mm | 15 | 100 | 91 | |
| TOC | mg/kg d.w. | 58 | 107753 | 13036 | |
| As | mg/kg d.w. | 1.0 | 694.7 | 27.9 | 33 |
| Cd | mg/kg d.w. | 0.03 | 2.00 | 0.22 | 4.98 |
| Cr | mg/kg d.w. | 6.8 | 65.3 | 21.6 | 111 |
| Hg | mg/kg d.w. | 0.01 | 1.20 | 0.04 | 1.06 |
| Ni | mg/kg d.w. | 2.7 | 46.4 | 19.3 | 48.6 |
| Pb | mg/kg d.w. | 1.0 | 150 | 18.1 | 128 |
| Cu | mg/kg d.w. | 1.4 | 91.5 | 25.5 | 149 |
| Zn | mg/kg d.w. | 28.3 | 356 | 88.2 | 159 |

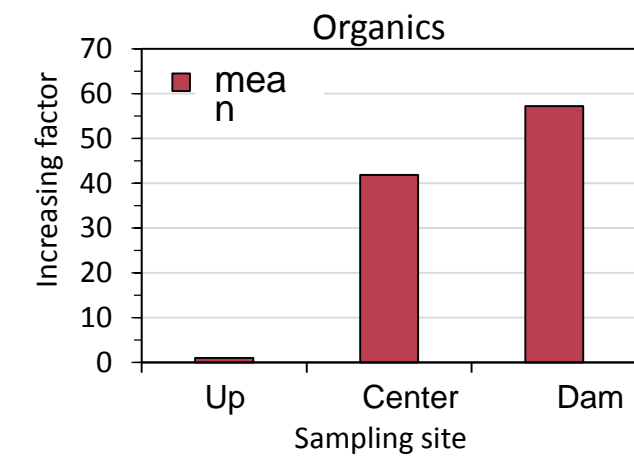
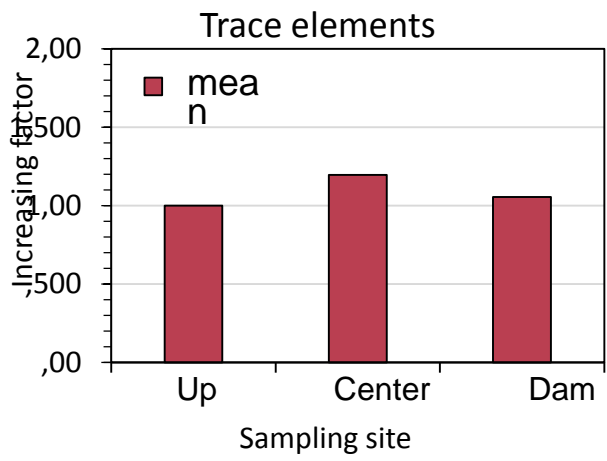
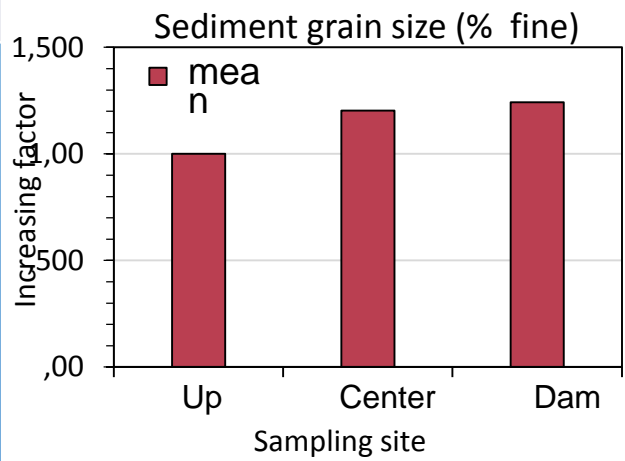


Results from case studies

- Chronic test batteries were tested only for 5 reservoirs (2015-16)
- In general, leachates did not exert toxic effects, while **frequent toxicity was found in whole-sediment tests**

Example ...

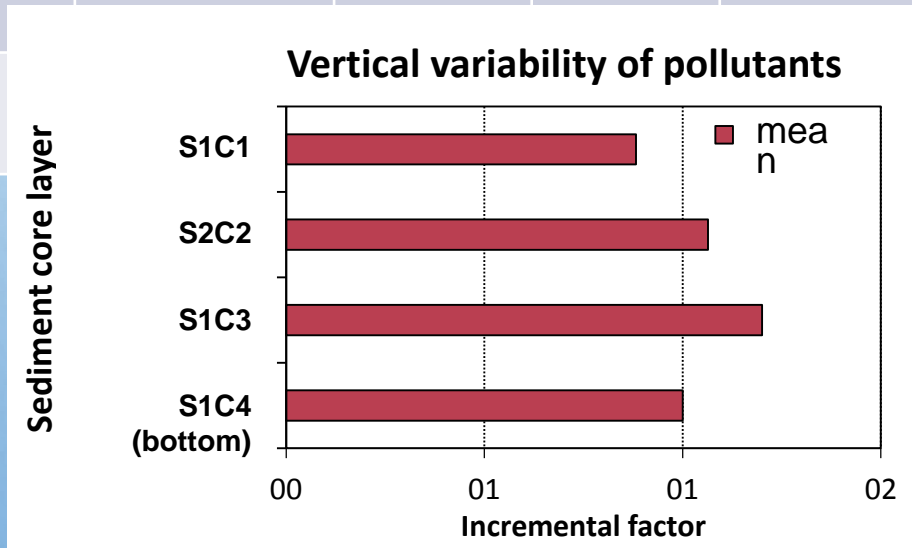
| Sample | Benchmark exceedance for leachates | Benchmark exceedance for sediments | Test on leachates | | Whole-sediment test | |
|----------|------------------------------------|------------------------------------|---------------------|-----------------------|---------------------|-----------------------|
| | | | <i>Ceriodaphnia</i> | <i>P. subcapitata</i> | Higher plants | <i>H. incongruens</i> |
| Upstream | | As | - | Growth -66% | - | Growth -26% |
| Center | | As | - | Growth -66% | - | Growth -30% |
| Dam | Sulphates | As | Reproduction - | Growth -71% | Germination | Mortality |



Example ...

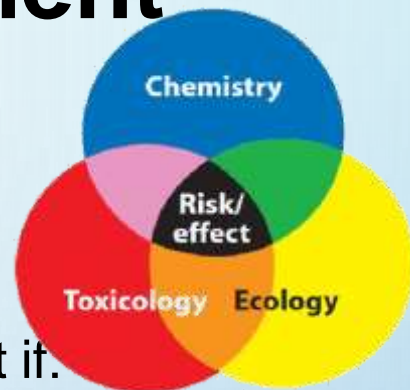
| Sediment core layer | Benchmark exceedance for leachates | Benchmark exceedance for sediments | Test on leachates | | | Whole-sediment test | |
|---------------------|------------------------------------|------------------------------------|----------------------|------------|----------|-----------------------|----------|
| | | | <i>Daphnia magna</i> | | | <i>H. incongruens</i> | |
| | | | Mortality % | Hatching % | Growth % | Mortality % | Growth % |
| S1C1 0-30 cm | Hg 3 µg/L, COD | As | 0 | -9 | -3 | 22 | -2 |
| S1C2 30 cm-2 m | COD | As | 0 | -15 | -8 | 27 | -10 |
| S1C3 2 m-5 m | As 66 µg/L, COD 54 mg/L | As 312 mg/kg | 0 | -10 | -5 | 12 | -18 |
| S1C4 5 m-7 m | COD | | | | | 18 | -28 |

depth ↓



Evaluation of flushing operations for a better management

TRIAD approach



Flushing operations need remodulation/improvement if.

- **Chemical analysis**: contaminant concentrations in the downstream river show significant increase after flushing (es. 50% increase, or new exceedance of benchmarks)
- **Ecotoxicological analysis**: toxicity in the downstream river shows significant increase (es. significant increase of % effect, or new positive tests)
- **Ecological analysis**: ecological status in the downstream river shows a significant decrease after flushing

Conclusion

- **PrATo** is a practical and efficient tool for a sustainable management of the flushing activities

PrATo will be adopted in Lombardy Region as part of technical guidelines for drafting Reservoir Management Plans: **Direttive tecniche per la predisposizione, l'approvazione e l'attuazione dei Progetti di Gestione degli invasi** (DGR 5736/2016 Regione Lombardia)



Thanks for attention!